



Efficient Privacy-Preserving Biometric Identification

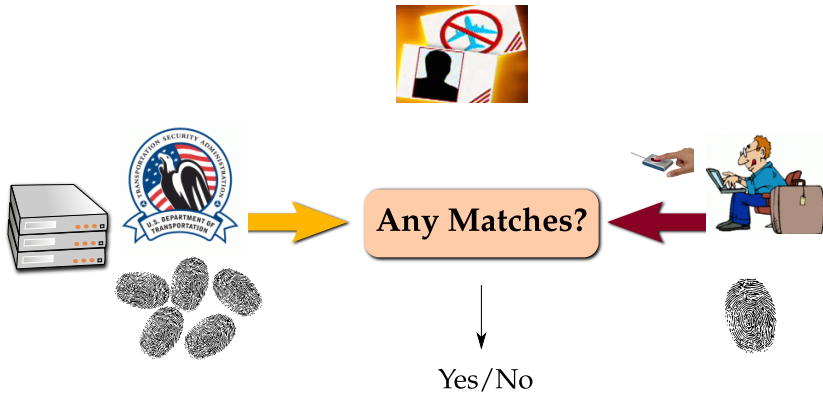
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<http://www.mightbeevil.org/secure-biometrics/>

Feb 9, 2011

Motivating Scenario: Private No-Fly Checking



Threat Models

- *Semi-honest* adversary
 - Must follow the protocol correctly
- *Malicious* adversary
 - Can deviate arbitrarily from the protocol

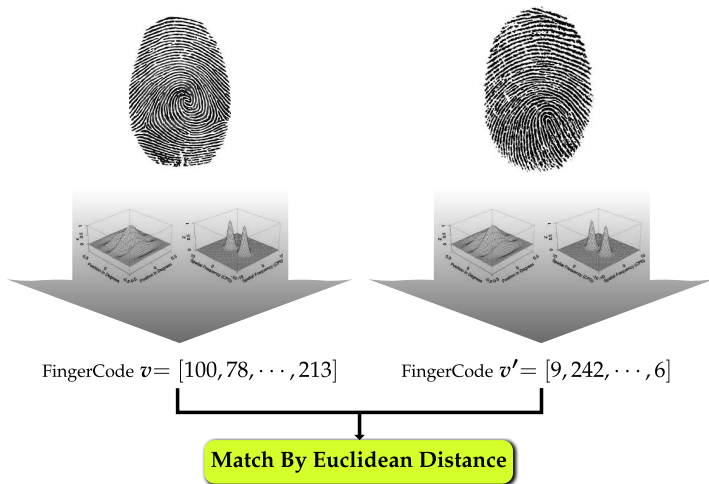
In both threat models, an adversary attempts to break either the *correctness* or the *privacy* property of the protocol.

Threat Models

- ***Semi-honest adversary***
 - **Must follow the protocol correctly**
- *Malicious adversary*
 - Can deviate arbitrarily from the protocol

In both threat models, an adversary attempts to break either the *correctness* or the *privacy* property of the protocol.

Filterbank-based Fingerprint Recognition [Jain et al., 2000]



Also used by Barni et al. [2010].

Non-private Protocol



$$V = \{v_1, v_2, \dots, v_M\}$$

$$v' = \{v'_1, v'_2, \dots, v'_N\}$$

Euclidean Distance

$$d = \{d_1, d_2, \dots, d_M\}$$

Finding Minimum

$$d^* = \min_{1 \leq i \leq M} (d_i)$$

Retrieve Identity

Record(i^*), if $d^* = d_{i^*} < \epsilon$;
 \perp , otherwise.

Privacy-preserving Protocol



$$V = \{v_1, v_2, \dots, v_M\}$$

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Euclidean Distance

Homomorphic
Encryption

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Garbled
Circuits

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Backtracking
Protocol

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Euclidean Distance

Let d_i be the distance between $\mathbf{v}_i = [v_{i,j}]_{1 \leq j \leq N}$ and $\mathbf{v}' = [v'_j]_{1 \leq j \leq N}$

$$\begin{aligned}d_i &= \|\mathbf{v}_i - \mathbf{v}'\|^2 = \sum_{j=1}^N (v_{i,j} - v'_j)^2 \\&= \underbrace{\sum_{j=1}^N v_{i,j}^2}_{S_{i,1}} + \underbrace{\sum_{j=1}^N (-2v_{i,j} \cdot v'_j)}_{S_{i,2}} + \underbrace{\sum_{j=1}^N v_j'^2}_{S_3}\end{aligned}$$

For privacy, want to compute $\llbracket d_i \rrbracket_{\text{pk}}$.

Additive Homomorphic Encryption

$$\left. \begin{array}{l} \llbracket a \rrbracket_{\text{pk}} \\ \llbracket b \rrbracket_{\text{pk}} \end{array} \right\} \implies \llbracket a + b \pmod{p} \rrbracket_{\text{pk}} = \llbracket a \rrbracket_{\text{pk}} \cdot \llbracket b \rrbracket_{\text{pk}}$$

$$\left. \begin{array}{l} \llbracket a \rrbracket_{\text{pk}} \\ c \end{array} \right\} \implies \llbracket c \cdot a \pmod{p} \rrbracket_{\text{pk}} = \llbracket a \rrbracket_{\text{pk}}^c$$

We used Paillier cryptosystem [Catalano et al., 2001, Paillier, 1999] in our prototype.

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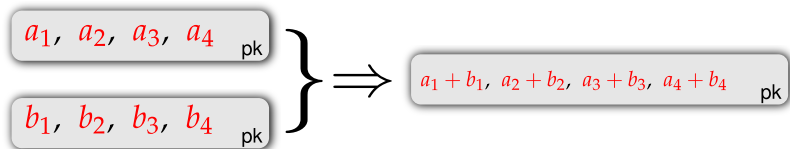
Private Euclidean Distance

$$\begin{aligned} \llbracket d_i \rrbracket &= \left[\underbrace{\sum_{j=1}^N v_{i,j}^2}_{S_{i,1}} + \underbrace{\sum_{j=1}^N (-2v_{i,j}v'_j)}_{S_{i,2}} + \underbrace{\sum_{j=1}^N v'_j{}^2}_{S_3} \right] \\ &= \llbracket S_{i,1} \rrbracket \cdot \llbracket S_{i,2} \rrbracket \cdot \llbracket S_3 \rrbracket \end{aligned}$$

$$\llbracket S_{i,2} \rrbracket = \left[\sum_{j=1}^N (-2v_{i,j}v'_j) \right] = \prod_{j=1}^N \llbracket -2v_{i,j} \rrbracket^{v'_j}$$

Improving the Efficiency

- **Modular exponentiation is slow.** For every i , computing $\llbracket S_{i,2} \rrbracket$ requires N modular exponentiations. Overall, it involves MN modular exponentiations
- **Encode many messages in one homomorphic encryption**



Packing was introduced by Sadeghi et al. [2009] to save bandwidth, but is exploited more aggressively here to save computation also.

Padding 0's to Ensure Correctness

| | | | | |
|-------|-------------|---|-------------|----|
| | 51,28,72 | | 51,28,72 | pk |
| + | 39,92,22 | • | 39,92,22 | pk |
| <hr/> | | | | |
| | 91,20,94 | | 91,20,94 | pk |
| | | | | |
| | 051,028,072 | | 051,028,072 | pk |
| + | 039,092,022 | • | 039,092,022 | pk |
| <hr/> | | | | |
| | 090,120,094 | | 090,120,094 | pk |

Vertical Partitioning to Speedup Computing $\llbracket S_{i,2} \rrbracket$

$$\llbracket S_{i,2} \rrbracket = \prod_{j=1}^N \llbracket -2v_{i,j} \rrbracket^{v'_j}$$

$$\begin{bmatrix} -2v_{1,1} & -2v_{1,2} & \cdots & -2v_{1,N} \\ -2v_{2,1} & -2v_{2,2} & \cdots & -2v_{2,N} \\ \vdots & \vdots & \ddots & \vdots \\ -2v_{\kappa,1} & -2v_{\kappa,2} & \cdots & -2v_{\kappa,N} \end{bmatrix}$$

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$$\begin{bmatrix} -2v_{1,1} & -2v_{1,2} & \cdots & -2v_{1,N} \\ -2v_{2,1} & -2v_{2,2} & \cdots & -2v_{2,N} \\ \vdots & \vdots & \ddots & \vdots \\ -2v_{\kappa,1} & -2v_{\kappa,2} & \cdots & -2v_{\kappa,N} \end{bmatrix}$$

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Vertical Partitioning to Speedup Computing $\llbracket S_{i,2} \rrbracket$

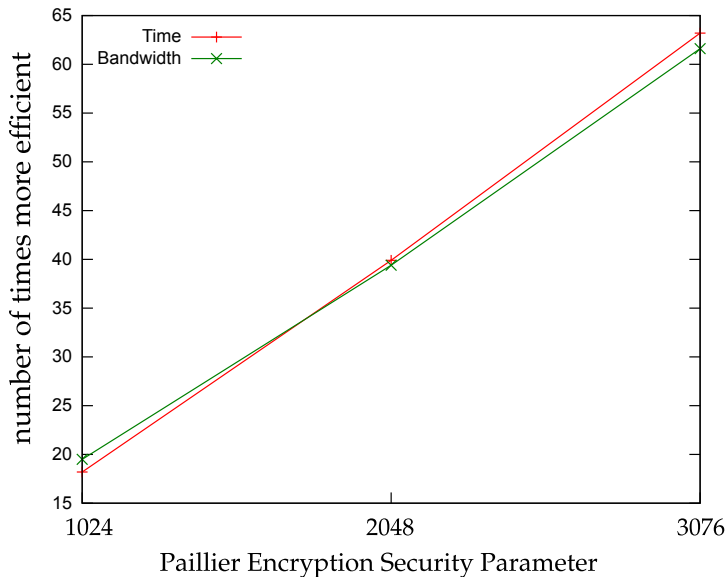
$$\llbracket S_{i,2} \rrbracket = \prod_{j=1}^N \llbracket -2v_{i,j} \rrbracket^{v'_j}$$

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Effects of Packing



Sharing the Secrets

The server generates nonce masks $\mathbf{r} = [r_1, r_2, \dots, r_M]$ and sends

$$\llbracket d'_1 \parallel \dots \parallel d'_M \rrbracket_{\text{pk}} = \llbracket (d_1 + r_1) \parallel (d_2 + r_2) \parallel \dots \parallel (d_M + r_M) \rrbracket_{\text{pk}}$$

where pk is the client's public key.

Server

d'_1

d'_2

\vdots

d'_M

Client

r_1

r_2

\vdots

r_M

Make the sampling range of r_i large enough so that d'_i and d_i is statistically indistinguishable.

Privacy-preserving Protocol



$$V = \{v_1, v_2, \dots, v_M\}$$

$$v' = \{v'_1, v'_2, \dots, v'_N\}$$

Euclidean Distance

$$d' = \{d'_1, d'_2, \dots, d'_M\}$$

$$r = \{r_1, r_2, \dots, r_M\}$$

Finding Minimum

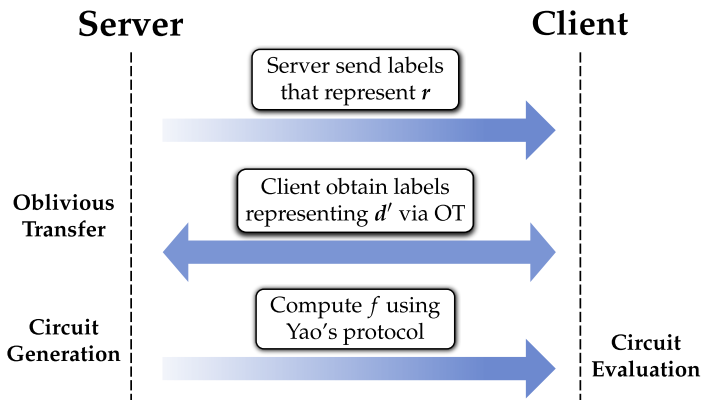
Garbled
Circuits

$$d^* = \min_{1 \leq i \leq M} (d_i)$$

Retrieve Identity

Record(i^*), if $d^* = d_{i^*} < \epsilon$;
 \perp , otherwise.

Garbled Circuits Protocol

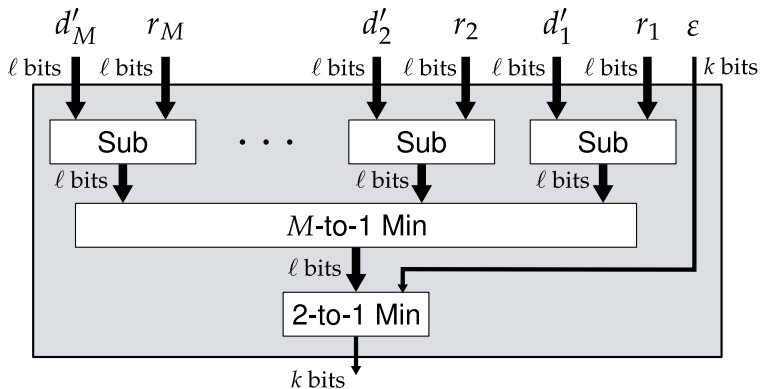


- Efficient oblivious transfer protocol combining schemes from both [Naor and Pinkas, 2001] and [Ishai et al., 2003]
- Standard garbled circuits [Yao, 1986] combined with free-XOR technique [Kolesnikov and Schneider, 2008]

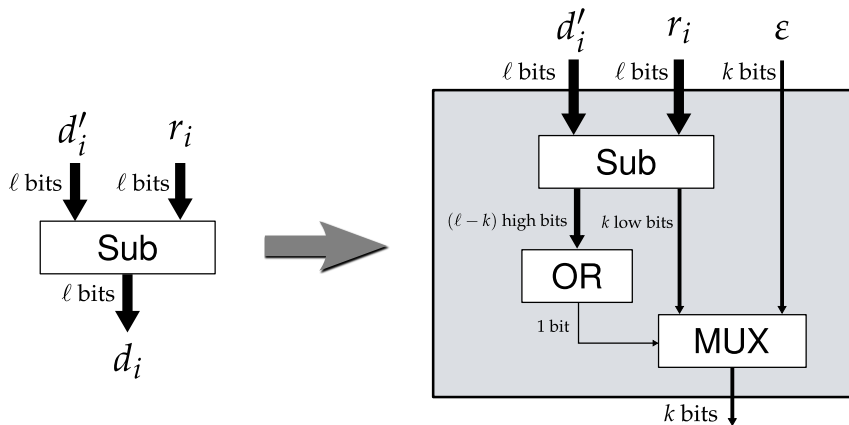
Finding the Minimum Difference

Goal

Given $d' = d + r$ and r , securely compute $d^* = \min_{1 \leq i \leq M} (d_i, \epsilon)$.



Reducing the Bit-width



Saves $2M(\ell - k)$ non-free gates in total.

Privacy-preserving Protocol



$$V = \{v_1, v_2, \dots, v_M\}$$

$$v' = \{v'_1, v'_2, \dots, v'_N\}$$

Euclidean Distance

Finding Minimum

$$d^* = \min_{1 \leq i \leq M} (d_i)$$

Retrieve Identity

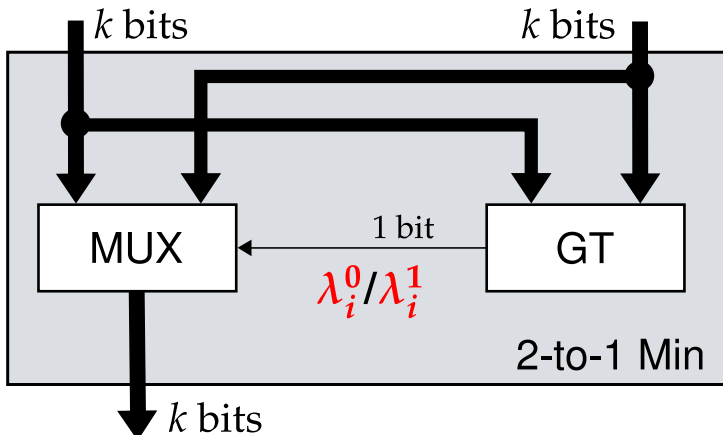
**Backtracking
Protocol**

Record (i^*) , if $d^* = d_{i^*} < \epsilon$;
 \perp , otherwise.

Finding the Record

- Ultimate goal is to retrieve the record associated with d^*
- Prior work [Kolesnikov et al., 2009] accomplished this by relaying indices throughout the M -to-1 Min circuit
- We achieve this with a *backtracking* protocol
 - 1 No need to propagate ID numbers
 - 2 Obtain record without an extra secure information retrieval by ID
 - 3 Use labels obtained in garbled circuit execution

The 2-to-1 Min



Mini Example — The Server

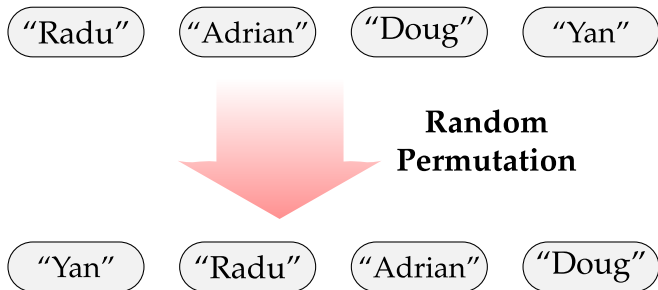
“Radu”

“Adrian”

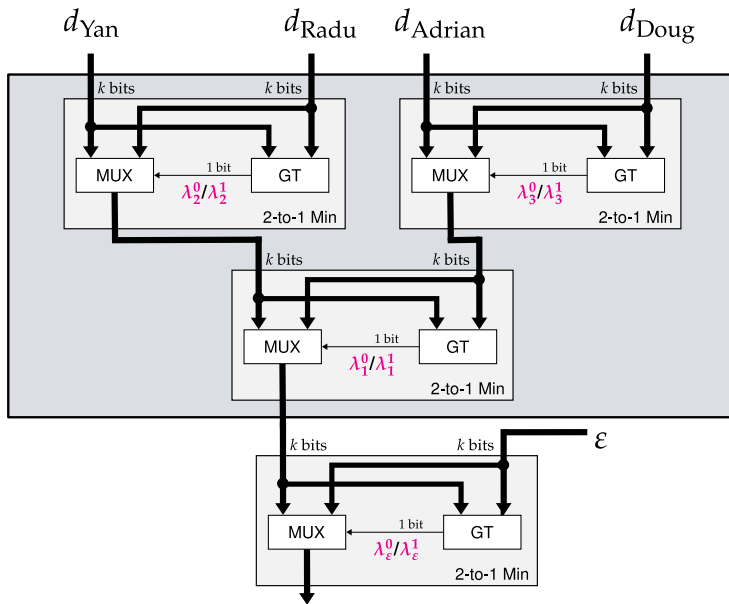
“Doug”

“Yan”

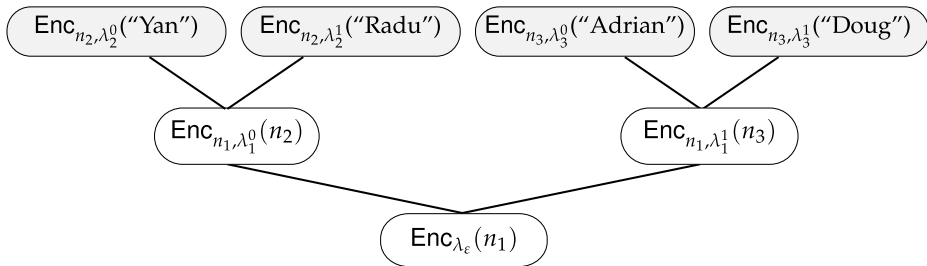
Mini Example — The Server



Selection Wires in the M -to-1 Min Tree

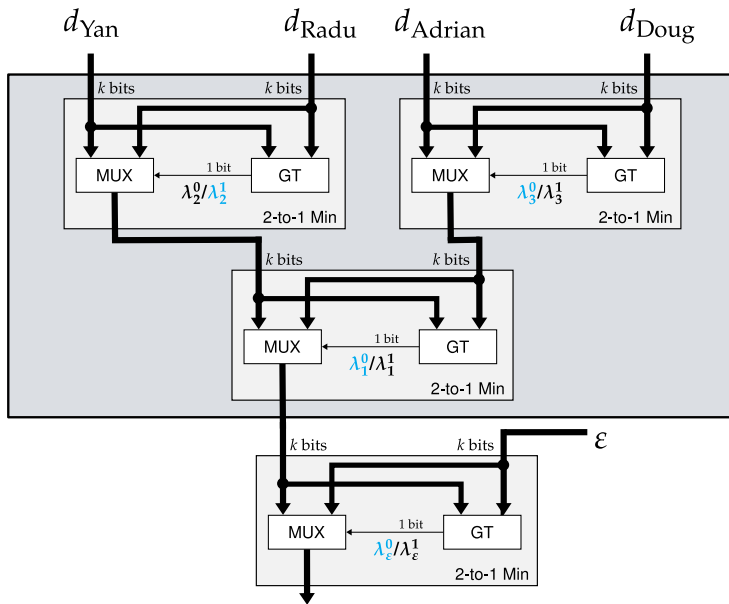


Backtracking — The Sender

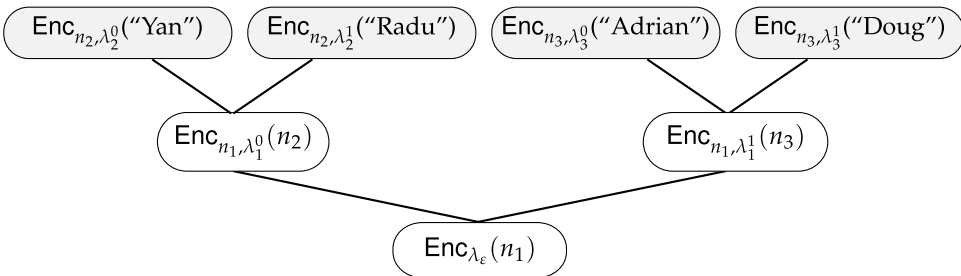


n_1, n_2, n_3 are random nonces known only to the sender.

Backtracking — The Receiver

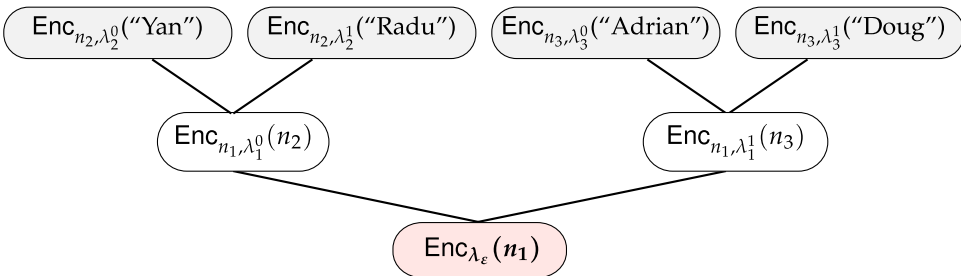


Backtracking — The Receiver



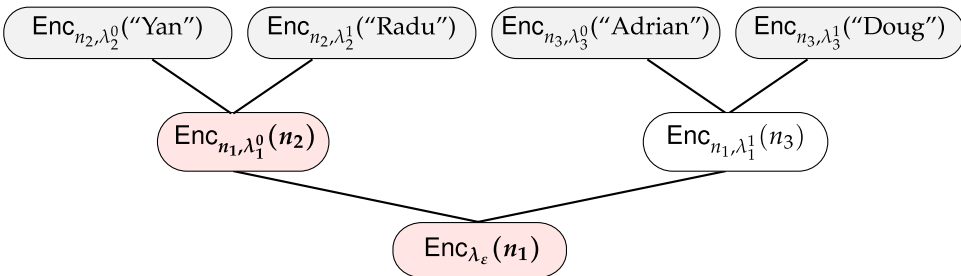
Client knows $\lambda_\epsilon^0, \lambda_1^0, \lambda_2^1, \lambda_3^0$ from circuit evaluation,

Backtracking — The Receiver



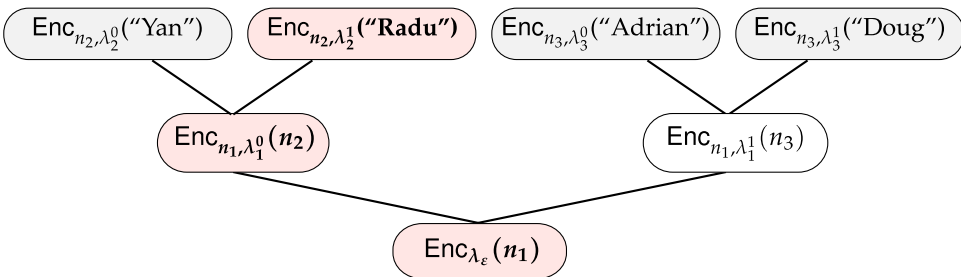
Client knows $\lambda_\epsilon^0, \lambda_1^0, \lambda_2^1, \lambda_3^0$ from circuit evaluation, so is able to infer n_1

Backtracking — The Receiver



Client knows $\lambda_\epsilon^0, \lambda_1^0, \lambda_2^1, \lambda_3^0$ from circuit evaluation, so is able to infer n_1, n_2

Backtracking — The Receiver



Client knows $\lambda_\epsilon^0, \lambda_1^0, \lambda_2^1, \lambda_3^0$ from circuit evaluation, so is able to infer n_1, n_2 , and **Radu**.

System Recap



$$V = \{v_1, v_2, \dots, v_M\}$$

$$v' = \{v'_1, v'_2, \dots, v'_N\}$$

Euclidean Distance

Distance

$$d = \{d_1, d_2, \dots, d_M\}$$

Finding Minimum

OT
Circuit

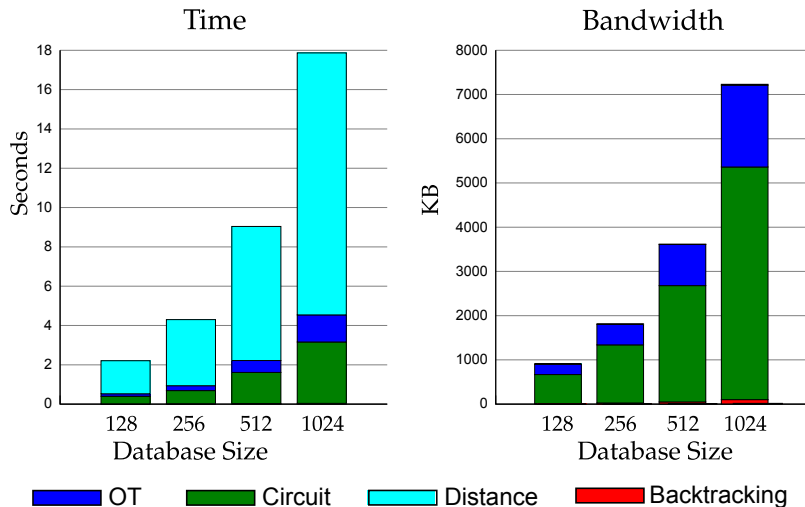
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Retrieve Identity

Backtracking

Record(i^*), if $d^* = d_{i^*} < \epsilon$;
 \perp , otherwise.

Results — Online Performance



4.6× faster and uses 58% less bandwidth than Barni et al. [2010], even though we compute the global minimum

Thank you!

Software available for download at:

<http://www.mightbeevil.org/secure-biometrics/>

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